



Date: 20th October, 2023

The Listing Department

The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700 001

Dear Sir,

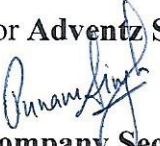
Sub: Quarterly Corporate Governance Report pursuant to Regulation 27 of SEBI (Listing Obligations and Disclosure Requirements), 2015

Enclosed herewith please find the Corporate Governance Report for the Quarter ended 30th September, 2023 pursuant to Regulation 27 of SEBI (Listing Obligations and Disclosure Requirements), 2015.

This is for your information and record.

Thanking you,
Yours Truly,

For **Adventz Securities Enterprises Limited**


Company Secretary
Mem No. A38649

NAME : PUNAM SINGH
MEMBERSHIP NO.
(ICSI) - A38649
ADD. - 4, N. G. BASAK ROAD,
DUM DUM, KOLKATA-700080

Encl: As above

Cc: The Listing Department
MSEI Limited
205(A), 2nd Floor
Piramal Agastya Corporate Park
Kamani Junction, LBS Road
Kurla (West)
Mumbai - 400070

ADVENTZ SECURITIES ENTERPRISES LIMITED

Hongkong House, 31 B. B. D. Bagh (South), Kolkata - 700 001, Tel.: +91-33-2248 8891/92

Fax: +91-33- 2243 7215 Email: corp@poddarheritage.com

CIN : L36993WB1995PLC069510

ANNEXURE I

Format of report on Corporate Governance to be submitted by a listed entity on quarterly basis

1. Name of Listed Entity
2. Quarter ending

Adventz Securities Enterprises Limited
30th September, 2023

Title (Mr./Ms.)	Name of the Director	PAN & DIN	Category (Chairperson /Executive/ Non-Executive/ in dependent/ Nominee) ^a	Initial Date of Appointment	Date of Re-appointment	Date of Cessation	Tenure*	Date of Birth	No of Directorship in listed entities including this listed entity [In Reference to Regulation 17A(1)]	No of Independent Directorship in listed entities including this listed entity [In Reference to proviso to Regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Akshay Poddar	PAN: AFUPP0096C DIN: 00008686	Non-Executive - Non Independent	28-04-2001	-	-	-	20-07-1976	5	0	Audit Committee - 1 Stakeholders Relationship Committee - 2	Stakeholders Relationship Committee - 1
Mr.	Gaurav Agarwala	PAN: AEHPA6396L DIN: 00201469	Non-Executive - Non Independent	22-03-2000	-	-	-	07-08-1972	1	0	Audit Committee - 1 Stakeholders Relationship Committee - 1	Stakeholders Relationship Committee - 1
Mr.	Rewati Raman Goenka	PAN: AGBPG2254K DIN: 00375716	Non-Executive - Independent - Chairman	12-12-2006	15-09-2019	29-09-2023	5 years	15-08-1946	1	1	Audit Committee - 1 Stakeholders Relationship Committee - 1	-
Mr.	Piyush Khaitan	PAN: AENPK2954E DIN: 00348151	Non-Executive - Independent	30-06-2000	15-09-2019	29-09-2023	5 years	19-10-1946	1	1	Audit Committee - 1 Stakeholders Relationship Committee - 1	Audit Committee - 1
Mr.	Kunal Agarwala	PAN: ABLPA5198Q DIN: 01205229	Non-Executive - Independent	04-03-2013	15-09-2019	29-09-2023	5 years	06-03-1977	1	1	-	-
Mrs.	Shradha Agarwala	PAN: ACTPA8806F DIN: 01203800	Non-Executive - Non Independent	13-02-2020	-	-	5 years	04-08-1970	2	0	Audit Committee - 1 Stakeholders Relationship Committee - 1	-
Mr.	Pankaj Tibrawalla	PAN: ABQPT4269H DIN: 00203579	Non-Executive - Independent	25-08-2023	-	-	5 years	31-01-1975	0	0	NIL	NIL
Mr.	Tushar Suraiya	PAN: ALQPS0337P DIN: 10262137	Non-Executive - Independent	25-08-2023	-	-	5 years	06-04-1947	0	0	NIL	NIL

Whether Regular Chairperson appointed - YES

Whether Chairperson is related to Managing Director or CEO - NO

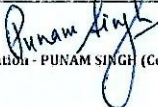
^aPAN number of any director would not be displayed on the website of Stock Exchange^bCategory of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen^cto be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

II. Composition of Committees					
Name of Committee	Whether Regular Chairperson appointed	Name of Committee members	Category (Chairperson/ Executive/ Non-Executive/Independent/Nominee) ¹	Date of Appointment	Date of Cessation
1. Audit Committee	YES	Mr. Piyush Khaitan Mr. Rewati Raman Goenka Mr. Gaurav Agarwala	Non Executive - Independent - Chairperson Non-Executive - Independent Non-Executive - Non Independent	Mr. Piyush Khaitan - 01.02.2016 Mr. Rewati Raman Goenka - 01.02.2016 Mr. Gaurav Agarwala - 22.04.2014	Mr. Piyush Khaitan - 29.09.2023 Mr. Rewati Raman Goenka - 29.09.2023
2. Nomination & Remuneration Committee	YES	Mr. Piyush Khaitan Mr. Rewati Raman Goenka Mr. Gaurav Agarwala	Non Executive - Independent - Chairperson Non-Executive - Independent Non-Executive - Non Independent	Mr. Piyush Khaitan - 01.02.2016 Mr. Rewati Raman Goenka - 01.02.2016 Mr. Gaurav Agarwala - 22.04.2014	Mr. Piyush Khaitan - 29.09.2023 Mr. Rewati Raman Goenka - 29.09.2023
3. Risk Management Committee(if applicable)		N.A.	N.A.		
4. Stakeholders Relationship Committee	YES	Mr. Gaurav Agarwala Mr. Rewati Raman Goenka Mr. Piyush Khaitan	Non Executive - Non Independent - Chairperson Non-Executive - Independent Non-Executive - Independent	Mr. Gaurav Agarwala - 22.04.2014 Mr. Rewati Raman Goenka - 22.04.2014 Mr. Piyush Khaitan - 22.04.2014	Mr. Piyush Khaitan - 29.09.2023 Mr. Rewati Raman Goenka - 29.09.2023
¹ Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen					
III. Meeting of Board of Directors					
Date(s) of Meeting in the previous quarter	Date(s) of Meeting in the relevant quarter	Whether requirement of Quorum met*(Yes/No)	Number of Directors present*	Number of Independent Directors present*	Maximum gap between any two consecutive meetings (in number of days)
18-04-2023					
30-05-2023	11-08-2023	Yes	3	3	72
	25-08-2023	Yes	6	5	13
[*] to be filled in only for the current quarter meetings					
IV. Meeting of Committees					
Date(s) of Meeting of the Committee in the relevant quarter	Whether requirement of Quorum met*(Yes/No)	Number of Directors present**	Number of Independent Directors present**	Date(s) of Meeting of the Committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days*)
Audit Committee					
11-08-2023	Yes	3	2	30-05-2023	72
Stakeholders Relationship Committee					
Risk Management Committee					
NA					
Nomination & Remuneration Committee					
[*] This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional					
^{**} to be filled in only for the current quarter meetings					
V. Related Party Transactions					
Subject	Compliance status (Yes/No/NA)refer note below				
Whether prior approval of audit committee obtained	Yes				
Whether shareholder approval obtained for material RPT	NA				
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes				



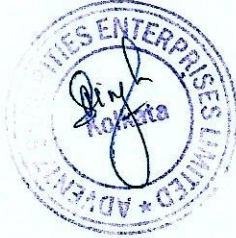
Note
1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2 If status is "No" details of non-compliance may be given here.

VI. Affirmations
1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
a. Audit Committee **Yes**
b. Nomination & Remuneration Committee **Yes**
c. Stakeholders Relationship Committee **Yes**
d. Risk Management Committee (as applicable) **NA**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. **Yes**
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: **Yes**
No adverse comments have been given by the Board of Directors


Name & Designation - PUNAM SINGH (Company Secretary & Compliance Officer)



Details of Cyber Security incidence	
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	No



ANNEXURE IV

Format to be submitted twice a year, on a half yearly basis at the end of every six months of the financial year

Half year ending - 30.09.2023

I. Disclosure of Loans/ Guarantees/ comfort letters/ securities etc. (refer note below)

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to:

Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	13,00,000.00	10,10,00,000.00
Promoter Group or any other entity controlled by them	-	-
Directors (Including relatives) or any other entity controlled by them	-	5,00,00,000.00
KMPs or any other entity controlled by them	-	-

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them	NIL	NIL	NIL
Promoter Group or any other entity controlled by them	NIL	NIL	NIL
Directors (Including relatives) or any other entity controlled by them	NIL	NIL	NIL
KMPs or any other entity controlled by them	NIL	NIL	NIL

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of security (cash, shares etc)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	NIL	NIL	NIL
Promoter Group or any other entity controlled by them	NIL	NIL	NIL
Directors (Including relatives) or any other entity controlled by them	NIL	NIL	NIL
KMPs or any other entity controlled by them	NIL	NIL	NIL

II. Affirmations:

All loans (or other forms of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other forms of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the Company. YES

For Adventz Securities Enterprises Limited

Devendra Khemka

Devendra Khemka
Manager & CFO
Date: 20/10/2023



Note

- These disclosures shall exclude any loan (or other form of debt), guarantee/ comfort letter (by whatever name called) or security provided in connection with any loan or any other form of debt;
 - by a government company to/for the Government or government company
 - by the listed entity to/for its subsidiary [and joint-venture company] whose accounts are consolidated with the listed entity.
 - by a banking company or an insurance company; and
 - by the listed entity to its employees or directors as a part of the service conditions
- If the listed entity would like to provide any other information, the same may be indicated as Para D in the above table.