



**Dated: 16th October, 2019**

**The Secretary**  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata-700 001

Dear Sir,

**Sub: Compliance Certificate pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Enclosed herewith please find the compliance certificate in relation to Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents for the half year ended 30th September, 2019 duly certified by practicing Company Secretary in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Please acknowledge the receipt.

Thanking you,  
Yours Truly,

For **Adventz Securities Enterprises Limited**

**Company Secretary**

Encl: As above

Cc : The Secretary  
The Delhi Stock Exchange Ltd  
DSE House  
3/1, Asaf Ali Road  
New Delhi - 110002

NAME : PUNAM SINGH  
MEMBERSHIP NO.  
(ICSI) - A38649  
ADD. - 4, N. G. BASAK ROAD,  
DUM DUM, KOLKATA-700080

The Company Secretary  
MSEI Limited  
Vibgyor Towers, 4th Floor, Plot No. C62  
G-Block, Opp. Trident Hotel  
Bandra Kurla Complex, Bandra(E)  
Mumbai - 400 098

**ADVENTZ SECURITIES ENTERPRISES LIMITED**

Hongkong House, 31 B. B. D. Bagh (South), Kolkata - 700 001, Tel.: +91-33-2248 8891/92

Fax: +91-33- 2243 7215 Email: corp@poddarheritage.com

CIN : L36993WB1995PLC069510

# A. K. LABH

FCS, ACMA (ICAI), MBA, M.Com., ACSI (Lond)  
DIM, DHRD, PGHDSM, DIRPM  
Practicing Company Secretary



# A. K. LABH & Co.

**Company Secretaries**

40, Weston Street, 3rd Floor, Kolkata - 700 013

☎ (033) 2221-9381, Fax : (033) 2221-9381

Mobile : 98300-55689

e-mail : aklabh@aklabh.com / aklabhcs@gmail.com

Website : [www.aklabh.com](http://www.aklabh.com)

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA  
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF  
YEAR ENDED SEPTEMBER, 30, 2019**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ADVENTZ SECURITIES ENTERPRISES LIMITED** of 31, B.B.D. Bag(S), Kolkata- 700 001 maintained by **ZUARI FINSERV PRIVATE LIMITED** of Corporate One, First Floor, 5, Commercial Centre, Jasola, New Delhi- 110 025, India acting as Registrars & Share Transfer Agent, pertaining to Equity Shares of the Company during the period **01.04.2019 to 30.09.2019** for the purpose of issuing a Certificate in terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 as entered into by **ADVENTZ SECURITIES ENTERPRISES LIMITED** with the stock exchanges and based on the information provided by the Company / RTA, we hereby certify that :

- A) all certificates have been issued within fifteen days in terms of Regulation 40 (8) of the aforesaid regulations, of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies , *as applicable*;
- B) any other information, if applicable: N. A.

Date: 15.10.2019

Place: Kolkata



For A. K. LABH & Co.  
Company Secretaries

(CS A. K. Labh)

Practising Company Secretary

FCS - 4848 / CP - 3238

UDIN: F004848A000080022

