

1. Name of Listed Entity : ADVENTZ SECURITIES ENTERPRISES LIMITED

2. Quarter ending: 31st December, 2015

I. Composition of Board of Directors

Title (Mr. / Ms)	Name of the Director	PAN [§] & DIN	Category (Chairperson/Executive/Non-Executive/independent/Nominee) ^{&}	Date of Appointment in the current term /cessation	Tenure*	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mrs.	Puja Poddar	PAN: ALRPP0822B DIN: 05333989	Executive - Managing Director	29-08-2012	-	1	-	-
Mr.	Akshay Poddar	PAN: AFUPP0096C DIN: 00008686	Non-Executive	12-03-2012	-	8	Audit Committee - 1 Stakeholders Relationship Committee -1	Stakeholders Relationship Committee - 1
Mr.	Gaurav Agarwala	PAN: AEHPA6396L DIN: 00201469	Non-Executive	15-09-2014	-	1	Audit Committee - 1	Stakeholders Relationship Committee - 1
Mr.	Rewati Raman Goenka	PAN: AGBPG2254K DIN: 00375716	Non-Executive - Independent - Chairman	15-09-2014	5 years	1	Stakeholders Relationship Committee -1	Audit Committee - 1
Mr.	Piyush Kumar Khetan	PAN: AENPK2954E DIN: 00348151	Non-Executive - Independent	15-09-2014	5 years	1	Audit Committee - 1 Stakeholders Relationship Committee -1	-
Mr.	Kunal Agarwala	PAN: AELPA5198Q DIN: 01205229	Non-Executive - Independent	22-03-2000	5 years	1	-	-

[§] PAN number of any director would not be displayed on the website of Stock Exchange

[&] Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee) [§]
1. Audit Committee	Mr. Rewati Raman Goenka Mr. Piyush Kumar Khetan Mr. Gaurav Agarwala	Non-Executive - Independent - Chairperson Non-Executive - Independent Non-Executive
2. Nomination & Remuneration Committee	Mr. Rewati Raman Goenka Mr. Piyush Kumar Khetan Mr. Gaurav Agarwala	Non-Executive - Independent - Chairperson Non-Executive - Independent Non-Executive
3. Risk Management Committee(if applicable)	N.A.	N.A.
4. Stakeholders Relationship Committee	Mr. Gaurav Agarwala Mr. Rewati Raman Goenka Mr. Piyush Kumar Khetan	Non-Executive - Chairperson Non-Executive - Independent Non-Executive - Independent

[§] Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
22-07-2015	13-11-2015	114

IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
Audit Committee			
13-11-2015	Yes	22-07-2015	114
Stakeholder Relationship Committee			
NIL	-	NIL	-
Risk Management Committee			
N.A.			
Nomination & Remuneration Committee			
NIL	-	NIL	-

* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional

V. Related Party Transactions

Subject	Compliance status (Yes/No/NA) <small>refer note below</small>
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	No material RPTs have taken place in this quarter
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

Note

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.

2. If status is "No" details of non-compliance may be given here.

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
 2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee Yes
 - b. Nomination & remuneration committee Yes
 - c. Stakeholders relationship committee Yes
 - d. Risk management committee (applicable to the top 100 listed entities) *Not applicable*
 3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. Yes
 4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. Yes
 5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/ observations/advice of Board of Directors may be mentioned here: Yes.
- No adverse comments have been given by the Board of Directors**

For Adventz Securities Enterprises Limited
Punam Singh

Punam Singh

Company Secretary

Note:
Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.