



o/c

10<sup>th</sup> January, 2019

**The Secretary**  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata-700 001

**Sub: Quarterly Corporate Governance Report pursuant to Regulation 27 of SEBI (Listing Obligations and Disclosure Requirements), 2015**

Dear Sir,

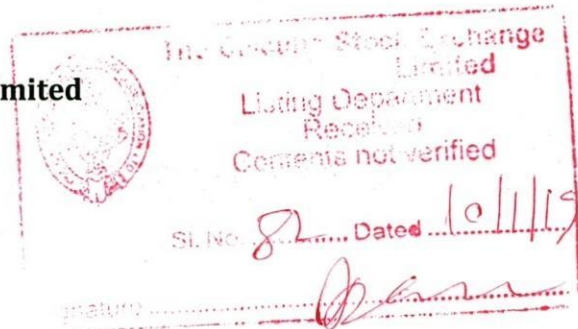
Enclosed herewith please find the Quarterly Governance Report for the Quarter ended 31<sup>st</sup> December, 2018 complying with the provisions of Regulation 27 of SEBI (Listing Obligations and Disclosure Requirements), 2015.

Please acknowledge the receipt.

Thanking you,

Yours Truly,  
**For Adventz Securities Enterprises Limited**  
FOR ADVENTZ SECURITIES ENTERPRISES LTD.

  
CHIEF FINANCIAL OFFICER & MANAGER  
**Manager**



Encl: as above

cc : The Secretary  
Delhi Stock Exchange Limited  
3/1, Asaf Ali Road  
New Delhi

**ADVENTZ SECURITIES ENTERPRISES LIMITED**

Hongkong House, 31 B. B. D. Bagh (South), Kolkata - 700 001, Tel.: +91-33-2248 8891/92

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CIN : L36993WB1995PLC069510

## ANNEXURE I

## Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity **Adventz Securities Enterprises Limited**  
 2. Quarter ending **31st December, 2018**

Title (Mr./Ms.)	Name of the Director	PAN & DIN	Category (Chairperson/Executive/Non-Executive/in dependent/Nominee) &	Date of Appointment in the current term /cessation	Tenure*	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mrs.	Puja Poddar	PAN: ALRPP0822B DIN: 05333989	Non-Executive	29-08-2012	-	1	-	-
Mr.	Akshay Poddar	PAN: AFUPP0096C DIN: 00008686	Non-Executive	12-03-2012	-	7	Audit Committee - 1 Stakeholders Relationship Committee - 1	Stakeholders Relationship Committee - 1
Mr.	Gaurav Agarwala	PAN: AEHPA6396L DIN: 00201469	Non-Executive	15-09-2014	-	1	Audit Committee - 1	Stakeholders Relationship Committee - 1
Mr.	Rewati Raman Goenka	PAN: AGBPG2254K DIN: 00375716	Non-Executive - Independent - Chairman	15-09-2014	5 years	1	Audit Committee - 1 Stakeholders Relationship Committee - 1	-
Mr.	Piyush Kumar Khetan	PAN: AENPK2954E DIN: 00348151	Non-Executive - Independent	15-09-2014	5 years	1	Stakeholders Relationship Committee - 1	Audit Committee - 1
Mr.	Kunal Agarwala	PAN: AELPA5198Q DIN: 01205229	Non-Executive - Independent	22-03-2000	5 years	1	-	-

<sup>§</sup>PAN number of any director would not be displayed on the website of Stock Exchange

<sup>&</sup>Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

\* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

### II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/ Non-Executive/Independent/Nominee) &
1. Audit Committee	Mr. Piyush Kumar Khetan Mr. Rewati Raman Goenka Mr. Gaurav Agarwala	Non-Executive - Independent - Chairperson Non-Executive - Independent Non-Executive
2. Nomination & Remuneration Committee	Mr. Piyush Kumar Khetan Mr. Rewati Raman Goenka Mr. Gaurav Agarwala	Non-Executive - Independent - Chairperson Non-Executive - Independent Non-Executive
3. Risk Management Committee(if applicable)	N.A.	N.A.
4. Stakeholders Relationship Committee'	Mr. Gaurav Agarwala Mr. Rewati Raman Goenka Mr. Piyush Kumar Khetan	Non-Executive - Chairperson Non-Executive - Independent Non-Executive - Independent

<sup>&</sup>Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

### III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive meetings (in number of days)
01-09-2018	13-11-2018	72



<b>IV. Meeting of Committees</b>			
<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met (details)</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two consecutive meetings in number of days*</i>
<b>Audit Committee</b>			
13-11-2018	Yes	01-09-2018	72
<b>Stakeholders Relationship Committee</b>			
<b>Risk Management Committee</b>			
N.A.			
<b>Nomination &amp; Remuneration Committee</b>			
* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional			
<b>V. Related Party Transactions</b>			
<b>Subject</b>		<b>Compliance status (Yes/No/NA)refer note below</b>	
Whether prior approval of audit committee obtained		Yes	
Whether shareholder approval obtained for material RPT		No material RPTs have taken place in this quarter	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee		Yes	
<b>Note</b>			
1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.			
2. If status is "No" details of non-compliance may be given here.			
<b>VI. Affirmations</b>			
1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.			
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015			
a. Audit Committee	Yes		
b. Nomination & remuneration committee	Yes		
c. Stakeholders relationship committee	Yes		
d. Risk management committee (applicable to the top 100 listed entities)	NA		
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.			
	Yes		
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.			
	Yes		
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:			
	Yes		
<b>No adverse comments have been given by the Board of Directors</b>			

For Adventz Secuties Enterprises Ltd.  
FOR ADVENTZ SECURITIES ENTERPRISES LTD.

*Adventz*

Manager

CHIEF FINANCIAL OFFICER & MANAGER

