



Dated: 09th January, 2020

The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata-700 001

Dear Sir,

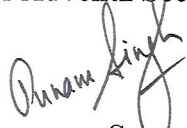
Sub: Quarterly Corporate Governance Report pursuant to Regulation 27 of SEBI (Listing Obligations and Disclosure Requirements), 2015

Enclosed herewith please find the Corporate Governance Report for the Quarter ended 31st December, 2019 pursuant to Regulation 27 of SEBI (Listing Obligations and Disclosure Requirements), 2015.

Please acknowledge the receipt.

Thanking you,
Yours Truly,

For Adventz Securities Enterprises Limited


Company Secretary

NAME : PUNAM SINGH
MEMBERSHIP NO.
(ICSI) - A38649
ADD. - 4, N. G. BASAK ROAD,
DUM DUM, KOLKATA-700080

Encl: As above

Cc : The Secretary
The Delhi Stock Exchange Ltd
DSE House
3/1, Asaf Ali Road
New Delhi - 110002

✓
The Company Secretary
MSEI Limited
Vibgyor Towers, 4th Floor, Plot No. C62
G-Block, Opp. Trident Hotel
Bandra Kurla Complex, Bandra(E)
Mumbai - 400 098

ADVENTZ SECURITIES ENTERPRISES LIMITED

Hongkong House, 31 B. B. D. Bagh (South), Kolkata - 700 001, Tel.: +91-33-2248 8891/92

Fax: +91-33- 2243 7215 Email: corp@poddarheritage.com

CIN : L36993WB1995PLC069510

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity Adventz Securities Enterprises Limited
2. Quarter ending 31st December, 2019

Title (Mr./Ms.)	Name of the Director	PAN & DIN	Category (Chairperson /Executive/ Non- Executive/ in dependent/ Nominee) &	Initial Date of Appointment	Date of Re-appointment	Date of Cessation	Tenure*	No of Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to Regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mrs.	Puja Poddar	PAN: ALRPP0822B DIN: 05333989	Non-Executive	22-06-2012	-	-	-	1	-	-	-
Mr.	Akshay Poddar	PAN: AFUPP0096C DIN: 00008686	Non-Executive	28-04-2001	-	-	-	5	-	Audit Committee - 1 Stakeholders Relationship Committee - 2	Stakeholders Relationship Committee - 1
Mr.	Gaurav Agarwala	PAN: AEHPA6396L DIN: 00201469	Non-Executive	22-03-2000	-	-	-	1	-	Audit Committee - 1 Stakeholders Relationship Committee - 1	Stakeholders Relationship Committee - 1
Mr.	Rewati Raman Goenka	PAN: AGBPG2254K DIN: 00375716	Non-Executive - Independent - Chairman	12-12-2006	15-09-2019	-	5 years	1	1	Audit Committee - 1 Stakeholders Relationship Committee - 1	-
Mr.	Piyush Khaitan	PAN: AENPK2954E DIN: 00348151	Non-Executive - Independent	30-06-2000	15-09-2019	-	5 years	1	1	Audit Committee - 1 Stakeholders Relationship Committee - 1	Audit Committee - 1
Mr.	Kunal Agarwala	PAN: AELPA5198Q DIN: 01205229	Non-Executive - Independent	04-03-2013	15-09-2019	-	5 years	1	1	-	-

Whether Regular Chairperson appointed - YES

Whether Chairperson is related to managing director or CEO - NO

*PAN number of any director would not be displayed on the website of Stock Exchange

*Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.



II. Composition of Committees

Name of Committee	Whether Regular Chairperson appointed	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee) \$	Date of Appointment	Date of Cessation
1. Audit Committee #	YES	Mr. Piyush Khaitan Mr. Rewati Raman Goenka Mr. Gaurav Agarwala	Non-Executive - Independent - Chairperson Non-Executive - Independent Non-Executive	Mr. Piyush Khaitan - 01-02-2016 Mr. Rewati Raman Goenka - 01-02-2016 Mr. Gaurav Agarwala - 22-04-2014	-
2. Nomination & Remuneration Committee #	YES	Mr. Piyush Khaitan Mr. Rewati Raman Goenka Mr. Gaurav Agarwala	Non-Executive - Independent - Chairperson Non-Executive - Independent Non-Executive	Mr. Piyush Khaitan - 01-02-2016 Mr. Rewati Raman Goenka - 01-02-2016 Mr. Gaurav Agarwala - 22-04-2014	-
3. Risk Management Committee(if applicable)		N.A.	N.A.	-	-
4. Stakeholders Relationship Committee' #	YES	Mr. Gaurav Agarwala Mr. Rewati Raman Goenka Mr. Piyush Khaitan	Non-Executive - Chairperson Non-Executive - Independent Non-Executive - Independent	Mr. Gaurav Agarwala - 22-04-2014 Mr. Rewati Raman Goenka - 22-04-2014 Mr. Piyush Khaitan - 15-09-2014	-

* Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relavant quarter	Whether requirement of Quorum met* (Yes/No)	Number of Directors present*	Number of independent directors present*	Maximum gap between any two consecutive meetings (in number of days)
14-09-2019	14-12-2019	Yes	4	3	90

* to be filled in only for the current quarter meetings

IV. Meeting of Committees

Date(s) of Meeting of the committee in the relavant quarter	Whether requirement of Quorum met* (Yes/No)	Number of Directors present**	Number of independent directors present**	Date(s) of Meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days*)
Audit Committee					
14-12-2019	Yes	3	2	14-09-2019	90
Stakeholders Relationship Committee					
-	-	-	-	-	-
Risk Management Committee					
N.A.					
Nomination & Remuneration Committee					
-	-	-	-	-	-



* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional
 ** to be filled in only for the current quarter meetings

V. Related Party Transactions

Subject	Compliance status (Yes/No/NA) refer note below
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	No material RPTs have taken place in this quarter
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

Note

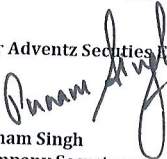
- In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- If status is "No" details of non-compliance may be given here.

VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - Audit Committee **Yes**
 - Nomination & remuneration committee **Yes**
 - Stakeholders relationship committee **Yes**
 - Risk management committee (applicable to the top 100 listed entities) **NA**
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. **Yes**
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. **Yes**
- This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: **Yes**

No adverse comments have been given by the Board of Directors

For Adventz Securities Enterprises Ltd.


 Punam Singh
 Company Secretary

