



**Dated: 19th January, 2022**

**The Listing Department**  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata - 700 001

Dear Sir,

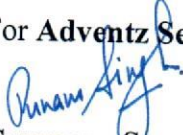
**Sub: Quarterly Corporate Governance Report pursuant to Regulation 27 of SEBI (Listing Obligations and Disclosure Requirements), 2015**

Enclosed herewith please find the Corporate Governance Report for the Quarter ended 31<sup>st</sup> December, 2021 pursuant to Regulation 27 of SEBI (Listing Obligations and Disclosure Requirements), 2015.

This is for your information and record.

Thanking you,  
Yours Truly,

For **Adventz Securities Enterprises Limited**

  
**Company Secretary**  
**Mem No. A38649**

NAME : PUNAM SINGH  
MEMBERSHIP NO.  
(ICSI) - A38649  
ADD. - 4, N. G. BASAK ROAD,  
DUM DUM, KOLKATA-700080

Encl: As above

Cc : The Listing Department  
MSEI Limited  
Vibgyor Towers, 4th Floor, Plot No. C62  
G-Block, Opp. Trident Hotel  
Bandra Kurla Complex, Bandra(E)  
Mumbai - 400 098

**ADVENTZ SECURITIES ENTERPRISES LIMITED**

Hongkong House, 31 B. B. D. Bagh (South), Kolkata - 700 001, Tel.: +91-33-2248 8891/92

Fax: +91-33- 2243 7215 Email: corp@poddarheritage.com

CIN : L36993WB1995PLC069510

## ANNEXURE I

Format of report on Corporate Governance to be submitted by a listed entity on quarterly basis

1. Name of Listed Entity  
2. Quarter endingAdventz Securities Enterprises Limited  
31st December, 2021

Title (Mr./Ms.)	Name of the Director	PAN <sup>3</sup> & DIN	Category (Chairperson /Executive/ Non-Executive/ in dependent/ Nominee) <sup>4</sup>	Initial Date of Appointment	Date of Re-appointment	Date of Cessation	Tenure <sup>5</sup>	Date of Birth	No of Directorship in listed entities including this listed entity [In Reference to Regulation 17A(1)]	No of Independent Directorship in listed entities including this listed entity [In Reference to Regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 25(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Akshay Poddar	PAN: AFUPP0096C DIN: 00008686	Non-Executive - Non Independent	28-04-2001	-	-	-	20-07-1976	6	0	Audit Committee - 1 Stakeholders Relationship Committee - 2	Stakeholders Relationship Committee - 1
Mr.	Gaurav Agarwala	PAN: AEHPA6396L DIN: 00201469	Non-Executive - Non Independent	22-03-2000	-	-	-	07-08-1972	1	0	Audit Committee - 1 Stakeholders Relationship Committee - 1	Stakeholders Relationship Committee - 1
Mr.	Rewati Raman Goenka	PAN: AGBPG2254K DIN: 00375716	Non-Executive - Independent - Chairman	12-12-2006	15-09-2019	-	5 years	15-08-1946	1	1	Audit Committee - 1 Stakeholders Relationship Committee - 1	-
Mr.	Piyush Khaitan	PAN: AENPK2954E DIN: 00348151	Non-Executive - Independent	30-06-2000	15-09-2019	-	5 years	19-10-1946	1	1	Audit Committee - 1 Stakeholders Relationship Committee - 1	Audit Committee - 1
Mr.	Kunal Agarwala	PAN: AELPA5198Q DIN: 01205229	Non-Executive - Independent	04-03-2013	15-09-2019	-	5 years	06-03-1977	1	1	-	-
Mrs.	Shradha Agarwala	PAN: ACTPAB806F DIN: 01203800	Non-Executive - Non Independent	13-02-2020	-	-	-	04-08-1970	2	0	Audit Committee - 1	-
Whether Regular Chairperson appointed - YES												
Whether Chairperson is related to Managing Director or CEO - NO												
<sup>3</sup> PAN number of any director would not be displayed on the website of Stock Exchange												
<sup>4</sup> Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen												
<sup>5</sup> to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.												



II. Composition of Committees					
Name of Committee	Whether Regular Chairperson appointed	Name of Committee members	Category (Chairperson/Executive/ Non-Executive/independent/Nominee) <sup>1</sup>	Date of Appointment	Date of Cessation
1. Audit Committee	YES	Mr. Piyush Khaitan Mr. Rewati Raman Goenka Mr. Gaurav Agarwala	Non Executive - Independent - Chairperson Non-Executive - Independent Non-Executive - Non Independent	Mr. Piyush Khaitan - 01.02.2016 Mr. Rewati Raman Goenka - 01.02.2016 Mr. Gaurav Agarwala - 22.04.2014	-
2. Nomination & Remuneration Committee	YES	Mr. Piyush Khaitan Mr. Rewati Raman Goenka Mr. Gaurav Agarwala	Non Executive - Independent - Chairperson Non-Executive - Independent Non-Executive - Non Independent	Mr. Piyush Khaitan - 01.02.2016 Mr. Rewati Raman Goenka - 01.02.2016 Mr. Gaurav Agarwala - 22.04.2014	-
3. Risk Management Committee(if applicable)		N.A.	N.A.		-
4. Stakeholders Relationship Committee	YES	Mr. Gaurav Agarwala Mr. Rewati Raman Goenka Mr. Piyush Khaitan	Non Executive - Non Independent - Chairperson Non-Executive - Independent Non-Executive - Independent	Mr. Gaurav Agarwala - 22.04.2014 Mr. Rewati Raman Goenka - 22.04.2014 Mr. Piyush Khaitan - 22.04.2014	-
<sup>1</sup> Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen					
III. Meeting of Board of Directors					
Date(s) of Meeting in the previous quarter	Date(s) of Meeting in the relevant quarter	Whether requirement of Quorum met*(Yes/No)	Number of Directors present*	Number of Independent Directors present*	Maximum gap between any two consecutive meetings (in number of days)
11-08-2021					
04-09-2021	20-10-2021	Yes	5	3	45
	08-11-2021	Yes	5	3	18
<sup>*</sup> to be filled in only for the current quarter meetings					
IV. Meeting of Committees					
Date(s) of Meeting of the Committee in the relevant quarter	Whether requirement of Quorum met*(Yes/No)	Number of Directors present**	Number of Independent Directors present**	Date(s) of Meeting of the Committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days*)
<b>Audit Committee</b>					
20-10-2021	Yes	3	2	11-08-2021	69
08-11-2021	Yes	3	2	-	18
<b>Stakeholders Relationship Committee</b>					
-	-	-	-	-	-
<b>Risk Management Committee</b>					
NA					
<b>Nomination &amp; Remuneration Committee</b>					
-	-	-	-	-	-
<sup>*</sup> This information has to be mandatorily given for audit committee, for rest of the committees giving this information is optional <sup>**</sup> to be filled in only for the current quarter meetings					
V. Related Party Transactions					
Subject		Compliance status (Yes/No/NA)refer note below			
Whether prior approval of audit committee obtained		Yes			
Whether shareholder approval obtained for material RPT		NA			
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee		Yes			

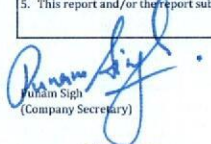


**Note**

- 1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- 2 If status is "No" details of non-compliance may be given here.

**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee **Yes**
  - b. Nomination & Remuneration Committee **Yes**
  - c. Stakeholders Relationship Committee **Yes**
  - d. Risk Management Committee (as applicable) **NA**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. **Yes**
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: **Yes**  
**No adverse comments have been given by the Board of Directors**

  
Purnim Singh  
(Company Secretary)

