

Name of the Company: **ADVENTZ SECURITIES ENTERPRISES LIMITED**
Corporate Governance Report
Quarter ending on: 30th September, 2015

SI No	Particulars	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
II	Board of Directors	49(II)		
(A)	Composition of Board	49(IIA)	Yes	Disclosed in detail in Annual Report for the year 2013-2014 and shall be disclosed further in the Next Annual Report.
(B)	Independent Directors	49(IIB)	Yes	Disclosed in detail in Annual Report for the year 2013-2014 and shall be disclosed further in the Next Annual Report.
(C)	Non-Executive Director's Compensation and disclosures	49(IIC)	Yes	Disclosed in detail in Annual Report for the year 2013-2014 and shall be disclosed further in the Next Annual Report.
(D)	Other Provisions as to Board and Committees	49(IID)	Yes	Disclosed in detail in Annual Report for the year 2013-2014 and shall be disclosed further in the Next Annual Report.
(E)	Code of Conduct	49(IIE)	Yes	Steps have been taken to host same in the website of the company.
(F)	Whistle Blower Policy	49(IIF)	Yes	Steps have been taken to host same in the website of the company.
III	Audit Committee	49(III)		
(A)	Qualified and Independent Audit Committee	49(IIIA)	Yes	Disclosed in detail in Annual Report for the year 2013-2014 and shall be disclosed further in the Next Annual Report.
(B)	Meeting of the Audit Committee	49(IIIB)	Yes	Disclosed in detail in Annual Report for the year 2013-2014 and shall be disclosed further in the Next Annual Report.
(C)	Power of Audit Committee	49(IIIC)	Yes	Disclosed in detail in Annual Report for the year 2013-2014 and shall be disclosed further in the Next Annual Report.
(D)	Role of Audit Committee	49(IIID)	Yes	Disclosed in detail in Annual Report for the year 2013-2014 and shall be disclosed further in the Next Annual Report.
(E)	Review of Information of Audit Committee	49(IIIE)	Yes	
IV	Nomination and Remuneration Committee	49(IV)	Yes	Disclosed in detail in Annual Report for the year 2013-2014 and shall be disclosed further in the Next Annual Report.
V	Subsidiary Companies	49(V)	N.A.	
VI	Risk Management	49(VI)	Yes	Disclosed in detail in Annual Report for the year 2013-2014 and shall be disclosed further in the Next Annual Report.
VII	Related Party Transactions	49(VII)	Yes	Disclosed in detail in Annual Report for the year 2013-2014 and shall be disclosed further in the Next Annual Report.
VIII	Disclosures	49(VIII)		
(A)	Related party transactions	49(VIIIA)	Yes	Disclosed in detail in Annual Report for the year 2013-2014 and shall be disclosed further in the Next Annual Report.
(B)	Disclosure of Accounting Treatment	49(VIIIB)	Yes	Disclosed in detail in Annual Report for the year 2013-2014 and shall be disclosed further in the Next Annual Report.
(C)	Remuneration of Directors	49(VIIIC)	Yes	Disclosed in detail in Annual Report for the year 2013-2014 and shall be disclosed further in the Next Annual Report.
(D)	Management	49(VIIID)	Yes	Disclosed in detail in Annual Report for the year 2013-2014 and shall be disclosed further in the Next Annual Report.
(E)	Shareholders	49(VIIIE)	Yes	Disclosed in detail in Corporate Governance Report for the year 2013-2014 and shall be

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Punam Singh
Company Secretary

				disclosed further in the Next Annual Report.
(F)	Disclosure of resignation of directors	49(VIIIF)	Yes	All the required disclosures under the Companies Act, 2013 will be made in the Annual Report for 2014-15.
(G)	Disclosure of formal letter of appointment	49(VIIIG)	Yes	All the required disclosures under the Companies Act, 2013 will be made in the Annual Report for 2014-15.
(H)	Disclosures in the Annual Report	49(VIIIH)	Yes	Disclosed in detail in Annual Report for the year 2013-2014 and shall be disclosed further in the Next Annual Report.
(I)	Proceeds from public issues, rights issue, preferential issues, etc.	49 (VIII I)	N.A.	
IX	CEO/CFO Certification	49 (IX)	Yes	Complied in the Annual Report for the financial year 2013-14 and shall be disclosed further in the Next Annual Report.
X	Report on Corporate Governance	49 (X)	Yes	Complied in the Annual Report for the financial year 2013-14 and shall be disclosed further in the Next Annual Report.
XI	Compliance	49 (XI)	Yes	Complied in the Annual Report for the financial year 2013-14 and shall be disclosed further in the Next Annual Report

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