

Format of holding of specific securities

Annexure - I

Name of the Listed Entity : ADVENTZ SECURITIES ENTERPRISES LIMITED

Scrip Code : 26189 (CSE)

Name of the scrip : ADVENSEC (CSE)

Class of Security : EQUITY SHARES

Share Holding Pattern Filed under: Reg.31(1)(b) for Quarter ending : 30.06.2016

Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information :-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares ?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants ?		No
3	Whether the Listed Entity has any shares against which Depository receipts are issued ?		No
4	Whether the Listed Entity has any shares in locked-in ?		No
5	Whether any shares held by Promoters are pledged or otherwise encumbered ?		No

ADVENTZ SECURITIES ENTERPRISES LIMITED

Punam Singh

Company Secretary

Table I - Summary Statement holding of specified securities

Category	Category of shareholder		No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								(i)	(ii)	(iii)			(xii)	(xiii)			
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)	(xiv)		
								No. of Voting Rights					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Share	
								Class eg : X	Class eg : Y	Total							
(A)	Promoter & Promoter Group	4	40,21,415	-	-	40,21,415	71.46	40,21,415	-	40,21,415	71.46	-	71.46	-	-	-	-
(B)	Public	340	16,06,372	-	-	16,06,372	28.54	16,06,372	-	16,06,372	28.54	-	28.54	-	-	-	-
(C)	Non Promoter -	-	-	-	-	-	-	-	-	-	0.00	-	0.00	-	-	-	-
(C1)	Shares underlying DRs	-	-	-	-	-	-	-	-	-	0.00	-	0.00	-	-	-	-
(C2)	Shares held by Employees Trusts	-	-	-	-	-	-	-	-	-	0.00	-	0.00	-	-	-	-
	Total	344	56,27,787	-	-	56,27,787	100.00	56,27,787	-	56,27,787	100.00	-	100.00	-	-	-	-

ADVENTZ SECURITIES ENTERPRISE, LIMITED

Puram

Company Secretary

Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form	
									(vii) = (iv)+(v)+(vi)	(ix)				(x)	(xi) = (vii)+(x) As a % of (A+B+C2)			(xii)
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)	(xiv)		
									No. of Voting Rights			Total as a % of (A+B+C)		No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg : X	Class eg : Y	Total								
1	Indian																	
(a)	Individuals/ Hindu Undivided Family																	
	Mr. Saroj Kumar Poddar	AFTPP2386N	1	1,38,888	-	-	1,38,888	2.47	1,38,888	-	1,38,888	2.47	-	2.47	-	-	-	-
	Mr. Saroj Kumar Poddar on behalf of Saroj & Co. HUF	AAGHS1464L	1	2,22,222	-	-	2,22,222	3.95	2,22,222	-	2,22,222	3.95	-	3.95	-	-	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Any Other (Specify)																	
	Jyotsna Poddar as a Partner of Poddar Heritage Enterprises Limited (Partnership Firm)	AAEFP9726A	1	27,777	-	-	27,777	0.49	27,777	-	27,777	0.49	-	0.49	-	-	-	-
(d-1)	Bodies Corporate																	
	Adventz Finance Private Limited	AABC83016H	1	36,32,528	-	-	36,32,528	64.55	36,32,528	-	36,32,528	64.55	-	64.55	-	-	-	-
	Sub Total(A)(1)		4	40,21,415	-	-	40,21,415	71.46	40,21,415	-	40,21,415	71.46	-	71.46	-	-	-	-
2	Foreign																	
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals/ Foreign Individuals)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Portfolio Investor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Others(Specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub Total(A)(2)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		4	40,21,415	-	-	40,21,415	71.46	40,21,415	-	40,21,415	71.46	-	71.46	-	-	-	-
Note :																		
(1) PAN would not be displayed on website of Stock Exchanges																		
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011																		

Table III- Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of share holder	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including no)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form
								(ix)		(x)			(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)		
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)	(xiv)	
								No. of Voting Rights			Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg : X	Class eg : Y	Total						
1	Institutions															
(a)	Mutual Funds/	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Alternate Investment Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Foreign Portfolio Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(f)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(g)	Insurance	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i)	Any Other (Specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub Total(B)(1)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government/ State Government(s)/ President of India															
	State	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub Total(B)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

3	Non-Institutions																		
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs 2 lakhs		303	1,99,322	3.54	-	1,99,326	3.54	1,99,326	-	1,99,326	3.54	-	3.54	-	-	-	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	NBFCs registered with RBI		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Employee Trusts		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Overseas Depositories (holding DRs) (balancing figure)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e-i)	NRI		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e-ii)	Bodies Corporate		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Holders of more than 1% of total no. of shares																		
	Poddar Projects Limited	AACCP5704B	1	3,96,000	7.04	-	3,96,000	7.04	3,96,000	-	3,96,000	7.04	-	7.04	-	-	-	-	-
	21st Century Exports Ltd		1	2,45,000	4.35	-	2,45,000	4.35	2,45,000	-	2,45,000	4.35	-	4.35	-	-	-	-	-
	Perfect Properties Pvt		1	1,00,000	1.78	-	1,00,000	1.78	1,00,000	-	1,00,000	1.78	-	1.78	-	-	-	-	-
	S. L. Properties Pvt Ltd		1	1,00,000	1.78	-	1,00,000	1.78	1,00,000	-	1,00,000	1.78	-	1.78	-	-	-	-	-
	Savitri Commercials Pvt Ltd		1	92,500	1.64	-	92,500	1.64	92,500	-	92,500	1.64	-	1.64	-	-	-	-	-
	Wimco Credit & Commercial Pvt Ltd		1	74,350	1.32	-	74,350	1.32	74,350	-	74,350	1.32	-	1.32	-	-	-	-	-
	Monotype Vinimay Pvt Ltd		1	90,000	1.60	-	90,000	1.60	90,000	-	90,000	1.60	-	1.60	-	-	-	-	-
	Om Shree Finance Ltd		1	77,500	1.38	-	77,500	1.38	77,500	-	77,500	1.38	-	1.38	-	-	-	-	-
	Holders of less than 1% of total no. of shares		29	2,31,700	4.12	-	2,31,700	4.12	2,31,700	-	2,31,700	4.12	-	4.12	-	-	-	-	-
	Sub Total(B)(3)		37	14,07,050	25.00	-	14,07,075	25.00	16,06,376	-	14,07,050	25.00	-	25.00	-	-	-	-	-
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		340	16,06,372	28.54	-	16,06,372	28.54	16,06,376	-	16,06,376	28.54	-	28.54	-	-	-	-	-

Note:

(1) PAN would not be displayed on website of Stock Exchanges

(2) The above formats need to be disclosed along with the name of the following persons:
Institutions / Non institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian

ADVENTZ SECURITIES ENTERPRISE LIMITED

Punam Singh

Company S

Details of the shareholders acting as Persons in Concert including their Shareholding (No. and %)

Name of shareholder	Category	No. of shares held	Holding %
NIL	NIL	NIL	NIL

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Punam Singh
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Details of shares which remain unclaimed with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of shares
NIL	NIL

Table IV- Statement showing shareholding pattern of the Non Promoter and Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									(ix)	(x)	(xi) = (vii)+(x) As a % of (A+B+C2)			(xii)		(xiii)		
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)										
									No. of Voting Rights					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class	Class	Total							
									eg: X	eg: Y								
1	Custodian/ DR Holder	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a)	Name of DR Holder (if available)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i)																		
(ii)																		
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
(a)	Name	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Note :																		
(1) PAN would not be displayed on website of Stock Exchanges																		
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares																		
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available																		

ADVENTZ SECURITIES ENTERPRISE LIMITED
Punam Singh
 Company Secretary