

<b>I (a) Statement showing Shareholding Pattern</b>			
<b>Name of the Company : ADVENTZ SECURITIES ENTERPRISES LIMITED</b>			
<b>Scrip Code : 26189 (CSE)</b>		<b>Class of Security : EQUITY SHARES</b>	
<b>Quarter ended : 30.09.2014</b>			
<b>Partly Paid-up shares</b>	<b>No. of Partly Paid-up shares</b>	<b>As a % of total no. of partly paid-up</b>	<b>As a % of total no. of shares of the Company</b>
Held by promoter / promoter group	-	-	-
Held by public	-	-	-
<b>Total</b>	-	-	-
<b>Outstanding convertible securities</b>	<b>No. of outstanding securities</b>	<b>As a % of total no. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the Company, assuming full conversion of the</b>
Held by promoter / promoter group	-	-	-
Held by public	-	-	-
<b>Total</b>	-	-	-
<b>Warrants</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the Company, assuming full conversion of warrants</b>
Held by promoter / promoter group	-	-	-
Held by public	-	-	-
<b>Total</b>	-	-	-
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>	<b>Rs.5,62,77,870/-</b>		

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SHAREHOLDING PATTERN UNDER CLAUSE 35

Company Name:		Adventz Securities Enterprises Limited						
Code: 26189 (CSE)		Quarter ended: 30.09.2014						
Sl. No.	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
I	II	III	IV	V	VI	VII	VIII	IX=(VIII/IV)*100
(A)	<b>Shareholding of Promoter and Promoter Group</b>							
1	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	2	388,887	-	6.91	6.91	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-
(c)	Bodies Corporate	2	3,632,528	-	64.55	64.55	-	-
(d)	Financial Institutions/ Banks	-	-	-	-	-	-	-
(e)	Any Other (specify) Person acting in concern	-	-	-	-	-	-	-
	<b>Sub-Total (A)(1)</b>	<b>4</b>	<b>4,021,415</b>	<b>-</b>	<b>71.46</b>	<b>71.46</b>	<b>-</b>	<b>-</b>
2	<b>Foreign</b>							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-
(d)	Any Other (specify)	-	-	-	-	-	-	-
	<b>Sub-Total (A)(2)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)=</b>	<b>4</b>	<b>4,021,415</b>	<b>-</b>	<b>71.46</b>	<b>71.46</b>	<b>-</b>	<b>-</b>
(B)	<b>Public shareholding</b>							
1	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	-	-	-	-	-	-	-
(b)	Financial Institutions/ Banks	-	-	-	-	-	-	-
(c)	Central Government/ State Government(s)	-	-	-	-	-	-	-
(d)	Insurance Companies	-	-	-	-	-	-	-
(e)	FIs	-	-	-	-	-	-	-
(f)	Foreign Venture Capital	-	-	-	-	-	-	-
(g)	Any Other (specify)	-	-	-	-	-	-	-
	1) Foreign Financial Instn	-	-	-	-	-	-	-
	<b>Sub-Total (B)(1)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
2	<b>Non-institutions</b>							
(a)	Bodies Corporate	37	1,407,050	-	25.00	25.00	-	-
(b)	Individuals							
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh	300	139,322	-	2.47	2.47	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	3	60,000	-	1.07	1.07	-	-
(c)	Any Other (Specify) 1. Directors	-	-	-	-	-	-	-
	2. Relatives	-	-	-	-	-	-	-
	3. Clearing Member	-	-	-	-	-	-	-
	4. Trust	-	-	-	-	-	-	-
	5. Office Bearers	-	-	-	-	-	-	-
	6. NRIs	-	-	-	-	-	-	-
	7. NRN	-	-	-	-	-	-	-
	8. Foreign Company	-	-	-	-	-	-	-
	<b>Sub-Total (B)(2)</b>	<b>340</b>	<b>1,606,372</b>	<b>-</b>	<b>28.54</b>	<b>28.54</b>	<b>-</b>	<b>-</b>
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>340</b>	<b>1,606,372</b>	<b>-</b>	<b>28.54</b>	<b>28.54</b>	<b>-</b>	<b>-</b>
	<b>TOTAL (A)+(B)</b>	<b>344</b>	<b>5,627,787</b>	<b>-</b>	<b>100.00</b>	<b>100.00</b>	<b>-</b>	<b>-</b>
(C)	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
	1 Promoter & Promoter Group	-	-	-	-	-	-	-
	2 Public	-	-	-	-	-	-	-
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>344</b>	<b>5,627,787</b>	<b>-</b>	<b>100.00</b>	<b>100.00</b>	<b>-</b>	<b>-</b>

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ADVENTZ SECURITIES ENTERPRISE LIMITED

*Saxena*  
Company Secretary



**SHAREHOLDING PATTERN UNDER CLAUSE 35**

Name of the Company: Adventz Securities Enterprises Limited  
 Scrip Code: 26189 (CSE) Quarter ended: 30.09.2014

**(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"**

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Mr Saroj Kumar Poddar	361110	6.42	-	-	-	-	-	-	-	-
2	Mrs Jyotsna Poddar	27777	0.49	-	-	-	-	-	-	-	-
3	Adventz Finance Pvt Ltd	3632528	64.55	-	-	-	-	-	-	-	-
<b>TOTAL</b>		<b>4021415</b>	<b>71.46</b>	-	-	-	-	-	-	-	-

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

**(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public and holding more than 1% of the total number of shares"**

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Poddar Projects Limited	396000	7.04	-	-	-	-	-
2	21st Century Exports Ltd	245000	4.35	-	-	-	-	-
3	Perfect Properties Pvt Ltd	100000	1.78	-	-	-	-	-
4	S. L. Properties Pvt Ltd	100000	1.78	-	-	-	-	-
5	Savitri Commercials Pvt Ltd	92500	1.64	-	-	-	-	-
6	Wimco Credit & Commercial Pvt Ltd	74350	1.32	-	-	-	-	-
7	Monotype Vinimay Pvt Ltd	90000	1.60	-	-	-	-	-
8	Om Shree Finance Ltd	77500	1.38	-	-	-	-	-
<b>TOTAL</b>		<b>1,175,350</b>	<b>20.89</b>	-	-	-	-	-

**(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company**

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Poddar Projects Limited	396000	7.04	-	-	-	-	-
<b>TOTAL</b>		<b>396000</b>	<b>7.04</b>	-	-	-	-	-

**(I)(d) Statement showing details of locked-in shares**

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	Nil	-	-
<b>TOTAL</b>		-	-

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**ADVENTZ SECURITIES ENTERPRISE LIMITED**

*S. Swarna*  
 Company Secretary

**SHAREHOLDING PATTERN UNDER CLAUSE 35**

Name of the Company:	Adventz Securities Enterprises Limited
Scrip Code: 26189 (CSE)	Quarter ended: 30.09.2014

(II)(a) **Statement showing details of Depository Receipts (DRs)**

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	No. of O/s DRs	Number of shares underlying O/s DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	N.A	-	-	-
<b>TOTAL</b>				

(II)(b) **Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares**

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	N.A		-	-
<b>TOTAL</b>			-	-

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ADVENTZ SECURITIES ENTERPRISE LIMITED

  
Company Secretary