

Date: 21st April, 2022

The Listing Department

The Calcutta Stock Exchange Limited 7, Lyons Range Kolkata - 700 001

Dear Sir,

Sub: Compliance Certificate pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Enclosed herewith please find the compliance certificate in relation to Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents for the financial year ended 31st March, 2022 duly certified by practicing Company Secretary pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and record.

Thanking you, Yours Truly,

For Advente Securities Enterprises Limited

Company Secretary Mem No. A38649 MEMBERSHIP NO. (ICSI) - A38649 ADD. - 4, N. G. BASAK ROAD, DUM DUM, KOLKATA-700080

NAME: PUNAM SINGH

Encl: As above

Cc: The Listing Department

MSEI Limited

Vibgyor Towers, 4th Floor, Plot No. C62

G-Block, Opp. Trident Hotel

Bandra Kurla Complex, Bandra(E)

Mumbai - 400 098

A. K. LABH

FCS, ACMA (ICAI), MBA, M.Com., ACSI (Lond) DIM, DHRD, PGHDSM, DIRPM Practising Company Secretary



A. K. LABH & Co.

Company Secretaries

40, Weston Street, 3rd Floor, Kolkata - 700 013 (C) (033) 2221-9381, Fax : (033) 2221-9381

Mobile: 98300-55689

e-mail: aklabh@aklabh.com / aklabhcs@gmail.com

Website: www.aklabh.com

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED MARCH, 31, 2022

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to ADVENTZ SECURITIES ENTERPRISES LIMITED of 31, B.B.D. Bag(S), Kolkata- 700 001 maintained by ZUARI FINSERV LIMITED of Plot no.2, Zamrudpur Community Centre, Kailash Colony Extension, New Delhi-110 048, acting as Registrars & Share Transfer Agent (RTA), pertaining to Equity Shares of the Company for the financial year ended 31st March, 2022 for the purpose of issuing the Certificate in terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and basedon the information provided by the Company / RTA, we hereby certify that:

- A) all certificates have been issued within thirty days of the date of lodgement for transfer, subdivision, consolidation, renewal, exchange or endorsement of calls/ allotment monies, as applicable;
- B) any other information, if applicable: NIL

Date: 16,04.2022

Kolkata * So

For A. K. LABH & Co. Company Secretaries

(CS A. K. Labh)

Practising Company Secretary

FCS - 4848 / CP - 3238

Mercel

UDIN: FOOY 848 DOOO 139 004





