

A. K. LABH

FCS, ACMA (ICAI), MBA, M.Com., ACS: (Lond)
DIM, DHRD, PGHDSM, DIRPM
Practising Company Secretary



A. K. LABH & Co.

Company Secretaries

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**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF
YEAR ENDED SEPTEMBER 30, 2016**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ADVENTZ SECURITIES ENTERPRISES LIMITED** of 31, B.B.D. Bag(S), Kolkata- 700 001 maintained by **ZUARI INVESTMENTS LIMITED** of Corporate One, First Floor, 5, Commercial Centre, New Delhi- 110 025, acting as Registrars & Share Transfer Agent, pertaining to Equity Shares of the Company during the period **01.04.2016 to 30.09.2016** for the purpose of issuing a Certificate in terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 as entered into by **ADVENTZ SECURITIES ENTERPRISES LIMITED** with the stock exchanges and based on the information provided by the Company / RTA, we hereby certify that :

- A) all certificates have been issued within fifteen days in terms of Regulation 40 (8) of the aforesaid regulations, of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies , *as applicable*;
- B) any other information, if applicable: N. A.

Date: 19.10.2016
Place: Kolkata



For A. K. LABH & Co.
Company Secretaries

(CS A. K. Labh)
Practising Company Secretary
FCS – 4848 / CP – 3238

