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**Dated: 12th July, 2019**

The Secretary  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata-700 001

**Sub: Quarterly Corporate Governance Report pursuant to Regulation 27 of SEBI (Listing Obligations and Disclosure Requirements), 2015**

Dear Sir,

Enclosed herewith please find the Corporate Governance Report for the Quarter ended 30th June, 2019 complying with the provisions of Regulation 27 of SEBI (Listing Obligations and Disclosure Requirements), 2015.

Please acknowledge the receipt.

Thanking you,

Yours Truly,

**For Adventz Securities Enterprises Limited**

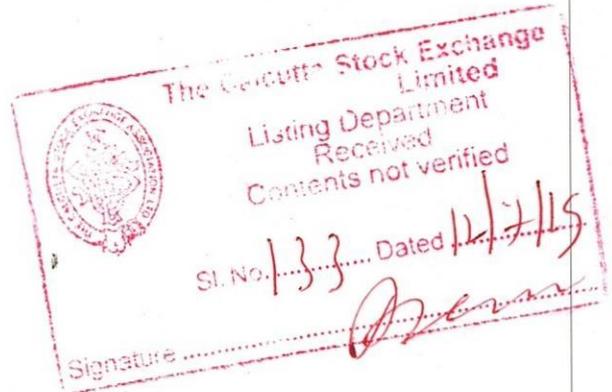
**Company Secretary**

NAME : PUNAM SINGH  
MEMBERSHIP NO.  
(ICSI) - A38649  
ADD. - 4, N. G. BASAK ROAD,  
DUM DUM, KOLKATA-700080

Encl: As above

Cc : The Secretary  
The Delhi Stock Exchange Ltd  
DSE House  
3/1, Asaf Ali Road  
New Delhi - 110002

The Company Secretary  
MSEI Limited  
Vibgyor Towers, 4th Floor, Plot No. C62  
G-Block, Opp. Trident Hotel  
Bandra Kurla Complex, Bandra(E)  
Mumbai - 400 098



**ADVENTZ SECURITIES ENTERPRISES LIMITED**

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CIN : L36993WB1995PLC069510

## ANNEXURE I

## Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity Adventz Securities Enterprises Limited  
2. Quarter ending 30th June, 2019

| Title (Mr./Ms.) | Name of the Director | PAN & DIN                        | Category (Chairperson /Executive/ Non- Executive/ in dependent/ Nominee) & | Date of Appointment in the current term /cessation | Tenure* | No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations) | Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) |
|-----------------|----------------------|----------------------------------|--|--|---------|--|---|--|
| Mrs.            | Puja Poddar          | PAN: ALRPP0822B<br>DIN: 05333989 | Non-Executive  | 29-08-2012   | -       | 1  | -   | -  |
| Mr.             | Akshay Poddar        | PAN: AFUPP0096C<br>DIN: 00008686 | Non-Executive  | 12-03-2012   | -       | 5  | Audit Committee - 1<br>Stakeholders Relationship Committee - 1  | Stakeholders Relationship Committee - 1  |
| Mr.             | Gaurav Agarwala      | PAN: AEHPA6396L<br>DIN: 00201469 | Non-Executive  | 22-03-2000   | -       | 1  | Audit Committee - 1   | Stakeholders Relationship Committee - 1  |
| Mr.             | Rewati Raman Goenka  | PAN: AGBPG2254K<br>DIN: 00375716 | Non-Executive - Independent - Chairman                                     | 15-09-2014   | 5 years | 1  | Audit Committee - 1<br>Stakeholders Relationship Committee - 1  | -  |
| Mr.             | Piyush Khaitan       | PAN: AENPK2954E<br>DIN: 00348151 | Non-Executive - Independent  | 15-09-2014   | 5 years | 1  | Stakeholders Relationship Committee - 1   | Audit Committee - 1  |
| Mr.             | Kunal Agarwala       | PAN: AELPA5198Q<br>DIN: 01205229 | Non-Executive - Independent  | 15-09-2014   | 5 years | 1  | -   | -  |

<sup>5</sup>PAN number of any director would not be displayed on the website of Stock Exchange

<sup>6</sup>Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen \* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

## II. Composition of Committees

| Name of Committee                           | Name of Committee members  | Category (Chairperson/Executive/ Non-Executive/Independent/Nominee) <sup>5</sup>          |
|---|--|---|
| 1. Audit Committee                          | Mr. Piyush Khaitan<br>Mr. Rewati Raman Goenka<br>Mr. Gaurav Agarwala | Non-Executive - Independent - Chairperson<br>Non-Executive - Independent<br>Non-Executive |
| 2. Nomination & Remuneration Committee      | Mr. Piyush Khaitan<br>Mr. Rewati Raman Goenka<br>Mr. Gaurav Agarwala | Non-Executive - Independent - Chairperson<br>Non-Executive - Independent<br>Non-Executive |
| 3. Risk Management Committee(if applicable) | N.A.   | N.A.  |
| 4. Stakeholders Relationship Committee'     | Mr. Gaurav Agarwala<br>Mr. Rewati Raman Goenka<br>Mr. Piyush Khaitan | Non-Executive - Chairperson<br>Non-Executive - Independent<br>Non-Executive - Independent |

<sup>6</sup>Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

## III. Meeting of Board of Directors

| Date(s) of Meeting (if any) in the previous quarter | Date(s) of Meeting (if any) in the relevant quarter | Maximum gap between any two consecutive meetings (in number of days) |
|---|---|--|
| 12-02-2019  | 08-04-2019  | 54   |
| 12-02-2019  | 28-05-2019  | 104  |



| IV. Meeting of Committees  |   |   |   |
|--|---|---|---|
| Date(s) of meeting of the committee in the relevant quarter  | Whether requirement of Quorum met (details)       | Date(s) of meeting of the committee in the previous quarter | Maximum gap between any two consecutive meetings in number of days* |
| <b>Audit Committee</b>   |   |   |   |
| 28-05-2019   | Yes   | 12-02-2019  | 104   |
| <b>Stakeholders Relationship Committee</b>   |   |   |   |
| -  | -   | -   | -   |
| <b>Risk Management Committee</b>   |   |   |   |
| N.A.   |   |   |   |
| <b>Nomination &amp; Remuneration Committee</b>   |   |   |   |
| -  | -   | -   | -   |
| * This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional  |   |   |   |
| V. Related Party Transactions  |   |   |   |
| Subject  | Compliance status (Yes/No/NA)refer note below     |   |   |
| Whether prior approval of audit committee obtained   | Yes   |   |   |
| Whether shareholder approval obtained for material RPT   | No material RPTs have taken place in this quarter |   |   |
| Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee   | Yes   |   |   |
| <b>Note</b>  |   |   |   |
| 1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated. |   |   |   |
| 2 If status is "No" details of non-compliance may be given here.   |   |   |   |
| VI. Affirmations   |   |   |   |
| 1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.  |   |   |   |
| 2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015  |   |   |   |
| a. Audit Committee   | Yes   |   |   |
| b. Nomination & remuneration committee   | Yes   |   |   |
| c. Stakeholders relationship committee   | Yes   |   |   |
| d. Risk management committee (applicable to the top 100 listed entities)   | NA  |   |   |
| 3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.   |   |   |   |
| Yes  |   |   |   |
| 4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.   |   |   |   |
| Yes  |   |   |   |
| 5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:  |   |   |   |
| Yes  |   |   |   |
| <b>No adverse comments have been given by the Board of Directors</b>   |   |   |   |

For Adventz Secuties Enterprises Ltd.

*Punam Singh*  
Company Secretary

